



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

William Cohn

Application No.:

09/307,195

Group: 3732

Filed:

May 7, 1999

Examiner: J. Smith

For:

SURGICAL RETRACTOR

| CERTIFICATE OF MAILING | |
|---|-----------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 | |
| on April 4, 2001 | |
| Date | Signature |
| STACEY GROSS | |
| Typed or printed name of person signing certificate | |

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TC 3700 MAIL ROOM

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is an Amendment for filing in the above-identified application.

- [] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.
- [] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

| (COL. 1) | | (COL. 2) | | (COL. 3) |
|--|---|----------|---------------------------------------|------------------|
| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NO. PREVIOUSLY PAID FOR | PRESENT EXTRA |
| TOTAL | 50 | MINUS | * 50 | 0 |
| INDEP | 5 | MINUS | ** 5 | 0 |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | | |

- * not fewer than 20
** not fewer than 3

| SMALL ENTITY | |
|--------------|---------------|
| RATE | ADDIT. FEE |
| X \$9 | \$ |
| X \$40 | \$ |
| + \$135 | \$ |

TOTAL = \$ 0

| OTHER THAN SMALL ENTITY | |
|----------------------------|---------------|
| RATE | ADDIT. FEE |
| X \$18 | \$ 0 |
| X \$80 | \$ 0 |
| + \$270 | \$ |

TOTAL = \$ 0

Please charge Deposit Account No. 08-0380 for the following fees:

| | | | |
|--------------------------|--|----|----------|
| <input type="checkbox"/> | Petition for [] month Extension of Time | \$ | _____ |
| <input type="checkbox"/> | Amendment Fee | \$ | _____ |
| <input type="checkbox"/> | Other Fees: | | |
| | _____ | \$ | _____ |
| | _____ | \$ | _____ |
| | TOTAL: | \$ | <u>0</u> |

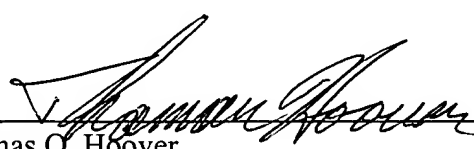
A check is enclosed in payment of the following fees:

| | | | |
|-------------------------------------|--|----|-------------|
| <input checked="" type="checkbox"/> | Petition for three-month Extension of Time | \$ | <u>890</u> |
| <input type="checkbox"/> | Amendment Fee | \$ | _____ |
| <input checked="" type="checkbox"/> | Other Fees: | | |
| | Information Disclosure Statement | \$ | <u>180</u> |
| | _____ | \$ | _____ |
| | TOTAL: | \$ | <u>1070</u> |

☒ A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By  _____
Thomas O. Hoover
Registration No.: 32,470
Telephone: (781) 861-6240
Facsimile: (781) 861-9540

Lexington, Massachusetts 02421-4799

Dated: April 4, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: William Cohn

Application No.: 09/307,195

Group: 3732

Filed: May 7, 1999

Examiner: J. Smith

For: SURGICAL RETRACTOR



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| on April 4, 2001 | <i>Stacey Gross</i> |
| Date | Signature |
| STACEY GROSS | |
| Typed or printed name of person signing certificate | |

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PETITION FOR EXTENSION OF TIME

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Applicant hereby petitions the Assistant Commissioner for Patents to extend the time for filing a reply to the Office Action dated October 4, 2000 for three-months from January 4, 2001 to April 4, 2001.

| | <u>Small Entity</u> | <u>Other than Small Entity</u> |
|------------|---------------------|------------------------------------|
| 1 month - | _____ \$ 55 | _____ \$ 110 |
| 2 months - | _____ \$ 195 | _____ \$ 390 |
| 3 months - | _____ \$ 445 | <u>XXX</u> \$ 890 |
| 4 months - | _____ \$ 695 | _____ \$1,390 |
| 5 months - | _____ \$ 945 | _____ \$1,890 |

- [X] A check is enclosed in the amount of the extension fee indicated above, or the extension fee has been included in the check with the accompanying Reply.
- [] Please charge Deposit Account No. 08-0380 in the amount of \$[] to cover the cost of the extension fee.

Any deficiency or overpayment should be charged or credited to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

04/10/2001 CCHAU1 00000095 09307195

01 FC:117

890.00 DP

By *Thomas O. Hoover*
Thomas O. Hoover
Registration No. 32,470
Telephone (781) 861-6240
Facsimile (781) 861-9540

Lexington, Massachusetts 02421-4799
Dated : April 4, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 4520

| | | | | |
|--|---|-------------------------------|---|--|
| SERIAL NUMBER 09/307,195 | FILING DATE 05/07/1999 RULE | CLASS 600 | GROUP ART UNIT 3732 | ATTORNEY DOCKET NO. BIH97-04A2 |
| APPLICANTS WILLIAM COHN, CHESTNUT HILL, MA; ** CONTINUING DATA ***** THIS APPLICATION IS A CON OF PCT/US98/08348 04/24/1998 WHICH IS A CIP OF 08/845,333 04/25/1997 PAT 6,033,362 ** FOREIGN APPLICATIONS ***** | | | | |
| IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 05/28/1999 | | | | |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____ | | STATE OR COUNTRY MA | SHEETS DRAWING 9 | TOTAL CLAIMS 50 |
| | | | | INDEPENDENT CLAIMS 5 |
| ADDRESS THOMAS O HOOVER ESQ BOWDITCH AND DEWEY, LLP 161 WORCESTER ROAD FRAMINGHAM, MA 01701-9320 <i>Customer Number 30489</i> | | | | |
| TITLE SURGICAL RETRACTOR | | | | |
| FILING FEE RECEIVED 1586 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Receipt

Applicants: William Cohn
Application No.: 09/307,195 Group: 3732
Filed: May 7, 1999 Examiner: J. Smith
For: SURGICAL RETRACTOR



| | |
|---|---------------------|
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| on April 4, 2001 | <i>Stacey Gross</i> |
| Date | Signature |
| STACEY GROSS | |
| Typed or printed name of person signing certificate | |

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In view of the Declaration/Power of Attorney filed with the U.S. Patent and Trademark Office on November 30, 1999, we hereby request that the following items of information be added to the Filing Receipt for the subject application received in this office on January 3, 2000.

In the Continuing Data Section please add --- which is a continuation-in-part Application of U.S. Serial No. 08/845,333 filed on April 25, 1997 (now U.S. Patent No. 6,033,362).---

Enclosed is a copy of the Filing Receipt with changes noted in red. Please make the changes as noted above, and issue a Corrected Filing Receipt.

Since the errors were made by the U.S. Patent and Trademark Office and not by Applicants or Applicants' Attorney, it is understood that there are no additional fees for the requested corrected Filing Receipt.

Respectfully submitted,
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By *Thomas O. Hoover*
Thomas O. Hoover
Registration No.: 32,470
Telephone: (781) 861-6240
Facsimile: (781) 861-9540

Lexington, MA 02421-4799
Date: April 4, 2001

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FILING RECEIPT
CORRECTED



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/307,195 | 05/07/99 | 3732 | \$1,586.00 | BIH97-04A2 | 9 | 50 | 5 |

THOMAS O HOOVER ESQ
HAMILTON BROOK SMITH & REYNOLDS PC
TWO MILITIA DRIVE
LEXINGTON MA 02421-4799

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) WILLIAM COHN, CHESTNUT HILL, MA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CON OF PCT/US98/08348 04/24/98

which is a continuation-in-part Application of U.S. Serial no. 08/845,333 Filed on April 25, 1997

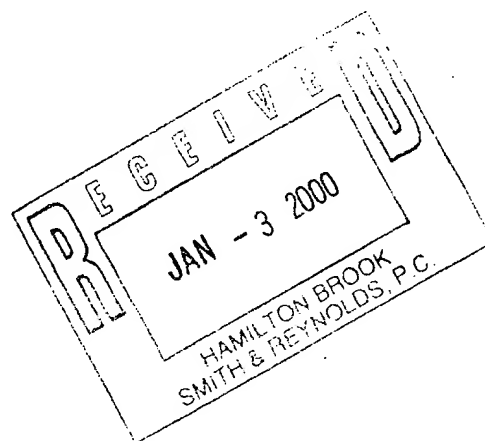
IF REQUIRED, FOREIGN FILING LICENSE GRANTED 05/28/99

TITLE

SURGICAL RETRACTOR

PRELIMINARY CLASS: 600

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DATA ENTRY BY: JONES, ANGELONA D. TEAM: 03 DATE: 12/22/99



(See reverse for new important information)

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

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PLEASE NOTE ---- The Following Information about the Filing Receipt:

The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.

The words "new," "improved," "improvement," "improvements in or relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement.

The title may be truncated if it consists of more than 4 lines of 70 characters each (letters and spaces combined).

The inventor information may be truncated if the family name consists of more than 25 characters (letters and spaces combined) and if the given name consists of more than 25 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).

The docket number allows a maximum of 12 characters.

If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Customer Address may have been modified to conform to U.S. Postal rules.

Please direct correction, including a copy of your Filing Receipt, to:
Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231